

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6525428
<b>Application Number:</b>	10699859
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5126
<b>Title of Invention:</b>	Electronic systems and methods for providing a trading interface with advanced features
<b>First Named Inventor/Applicant Name:</b>	Howard W. Lutnick
<b>Customer Number:</b>	63710
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	02-1078
<b>Receipt Date:</b>	25-NOV-2009
<b>Filing Date:</b>	31-OCT-2003
<b>Time Stamp:</b>	12:23:17
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$490
RAM confirmation Number	10419
Deposit Account	503938
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		02-1078_091125_Reply_Office _Action.pdf	70832  99cb1f7c8e87b08f0a780b80b246c02efee4a0a3	yes	14

### Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	9
Applicant Arguments/Remarks Made in an Amendment	10	14

## Warnings:

## Information:

2	Fee Worksheet (PTO-875)	fee-info.pdf	30403  d0ae8bab6ab5078d2887452d7b0007783e544c10	no	2
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## Warnings:

## Information:

<b>Total Files Size (in bytes):</b>	101235
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.